

Name of Company: ADM Investor Services Inc.	Employer ID No: 371075552	NFA ID No: 0000360
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CFTC FORM 1-FR-FCM  
STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES  
AS OF 8/31/2019

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash		\$ 3,413,026,522	5000
B. Securities (at market)		612,202,715	5010
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		169,116,486	5020
3. Exchange traded options			
A. Market value of open option contracts purchased on a contract market		325,182,501	5030
B. Market value of open option contracts granted (sold) on a contract market		(308,580,869)	5040
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 4,210,947,355	5050
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 768,289	5060	
Less: amount offset by customer owned securities	(479,617)	5070	
		288,672	5080
6. Amount required to be segregated (add lines 4 and 5)		\$ 4,211,236,027	5090

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		\$ 1,526,979,793	5100
B. Securities representing investments of customers' funds (at market)		2,997,393	5110
C. Securities held for particular customers or option customers in lieu of cash (at market)		395,476,859	5120
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash		99,635,239	5130
B. Securities representing investments of customers' funds (at market)		2,155,339,971	5140
C. Securities held for particular customers or option customers in lieu of cash (at market)		181,446,426	5150
9. Net settlement from (to) derivatives clearing organizations of contract markets		9,056,674	5160
10. Exchange traded options			
A. Value of open long option contracts		325,182,501	5170
B. Value of open short option contracts		(308,580,869)	5180
11. Net equities with other FCMs			
A. Net liquidating equity		0	5190
B. Securities representing investments of customers' funds (at market)		0	5200
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5210
12. Segregated funds on hand (describe: See Attached )		35,279,430	5215
13. Total amount in segregation (add lines 7 through 12)		\$ 4,422,813,417	5220
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$ 211,577,390	5230
15. Management Target Amount Excess funds in segregation		\$ 120,000,000	5240
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess		\$ 91,577,390	5250

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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 8/31/2019

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 215,142,329	5615
	B. Securities (at market)	\$ 28,172,881	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 60,692,741	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 5,491,959	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$(3,314,209)	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 306,185,701	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 49,466	5651
	Less: amount offset by customer owned securities	\$(2,485)	5652
		\$ 46,981	5654
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 306,232,682	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 306,232,682	5660

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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 8/31/2019

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 100,418,858	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	34,303,136	5720	\$ 134,721,994	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 82,904,891	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	0	5760	82,904,891	5770
3. Equities with registered futures commission merchants				
A. Cash	\$(6,104,768)	5780		
B. Securities	44,754,815	5790		
C. Unrealized gain (loss) on open futures contracts	19,149,866	5800		
D. Value of long option contracts	0	5810		
E. Value of short option contracts	0	5815	57,799,913	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): <u>See Attached</u>		5830		
A. Cash	\$ 1,789,224	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	(5,453)	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	1,783,771	5880
5. Amounts held by members of foreign boards of trade				
Name(s): <u>See Attached</u>		5890		
A. Cash	\$ 32,658,375	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	41,547,015	5920		
D. Value of long option contracts	5,491,959	5930		
E. Value of short option contracts	(3,314,209)	5935	76,383,140	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 353,593,709	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 47,361,027	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			20,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			27,361,027	5985

**SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II**

**BROKER OR DEALER:**

**as of: 08/31/2019**

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1.	Net ledger balance		
	A. Cash	\$(2,000,259)	8500
	B. Securities (at market)	0	8510
2.	Net unrealized profit (loss) in open cleared swaps	0	8520
3.	Cleared swaps options		
	A. Market value of open cleared swaps option contracts purchased	3,664,600	8530
	B. Market value of open cleared swaps granted (sold)	0	8540
4.	Net equity (deficit) (add lines 1, 2 and 3)	1,664,341	8550
5.	Accounts liquidating to a deficit and accounts with debit balances		
	- gross amount	\$ 0	8560
	Less: amount offset by customer securities	0	8570
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	1,664,341	8590

**Funds in Cleared Swaps Customer Segregated Accounts**

7.	Deposited in cleared swaps customer segregated accounts at banks		
	A. Cash	\$ 171,862	8600
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8610
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8620
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
	A. Cash	12,970,950	8630
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8640
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8650
9.	Net settlement from (to) derivatives clearing organizations	(173,400)	8660
10.	Cleared swaps options		
	A. Value of open cleared swaps long option contracts	0	8670
	B. Value of open cleared swaps short option contracts	0	8680
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	8690
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8700
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8710
12.	Cleared swaps customer funds on hand (describe: )	0	8715
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	12,969,412	8720
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 11,305,071	8730
15.	Management target Amount for Excess funds in cleared swaps segregated accounts	\$ 8,000,000	8760
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess	\$ 3,305,071	8770