

Name of Company: ADM Investor Services, Inc.	Employer ID No: 371075552	NFA ID No: 0000360
---	------------------------------	-----------------------

CFTC FORM 1-FR-FCM
STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES
AS OF 2/28/2017

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash		\$ 4,051,504,230	5000
B. Securities (at market)		535,745,269	5010
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(59,873,573)	5020
3. Exchange traded options			
A. Market value of open option contracts purchased on a contract market		303,000,480	5030
B. Market value of open option contracts granted (sold) on a contract market		(339,117,009)	5040
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 4,491,259,397	5050
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 15,001,229	5060	
Less: amount offset by customer owned securities	(14,893,078)	5070	108,151 5080
6. Amount required to be segregated (add lines 4 and 5)		\$ 4,491,367,548	5090

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		\$ 626,049,760	5100
B. Securities representing investments of customers' funds (at market)		190,047,040	5110
C. Securities held for particular customers or option customers in lieu of cash (at market)		507,422,322	5120
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash		11,614,400	5130
B. Securities representing investments of customers' funds (at market)		3,431,268,909	5140
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5150
9. Net settlement from (to) derivatives clearing organizations of contract markets		(27,703,709)	5160
10. Exchange traded options			
A. Value of open long option contracts		303,000,480	5170
B. Value of open short option contracts		(339,117,009)	5180
11. Net equities with other FCMs			
A. Net liquidating equity		0	5190
B. Securities representing investments of customers' funds (at market)		0	5200
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5210
12. Segregated funds on hand (describe: See Attached)		28,322,947	5215
13. Total amount in segregation (add lines 7 through 12)		\$ 4,730,905,140	5220
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$ 239,537,592	5230
15. Management Target Amount Excess funds in segregation		\$ 120,000,000	5240
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess		\$ 119,537,592	5250

Name of Company: ADM Investor Services, Inc.	Employer ID No: 371075552	NFA ID No: 0000360
---	------------------------------	-----------------------

CFTC FORM 1-FR-FCM
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7
AS OF 2/28/2017

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 275,119,084	5615
	B. Securities (at market)	\$ 15,607,391	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 58,687,187	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 609,823	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$(105,384)	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 349,918,101	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 179,052	5651
	Less: amount offset by customer owned securities	\$(179,052)	5652
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 349,918,101	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 349,918,101	5660

Name of Company: ADM Investor Services, Inc.	Employer ID No: 371075552	NFA ID No: 0000360
---	------------------------------	-----------------------

CFTC FORM 1-FR-FCM
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7
AS OF 2/28/2017

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 4,859,757	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	8,283,314	5720	\$ 13,143,071	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 209,882,648	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	0	5760	209,882,648	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 0	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	0	5800		
D. Value of long option contracts	0	5810		
E. Value of short option contracts	0	5815	0	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): <u>See Attached</u>		5830		
A. Cash	\$ 4,234,501	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	5,040	5860		
D. Value of long option contracts	456,253	5870		
E. Value of short option contracts	(98,707)	5875	4,597,087	5880
5. Amounts held by members of foreign boards of trade				
Name(s): <u>See Attached</u>		5890		
A. Cash	\$ 35,568,356	5900		
B. Securities	94,166,953	5910		
C. Unrealized gain (loss) on open futures contracts	51,117,774	5920		
D. Value of long option contracts	153,570	5930		
E. Value of short option contracts	(6,677)	5935	180,999,976	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 408,622,782	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 58,704,681	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			20,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			38,704,681	5985

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

as of: 02/28/2017

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1.	Net ledger balance		
	A. Cash	\$ 3,768,598	8500
	B. Securities (at market)	0	8510
2.	Net unrealized profit (loss) in open cleared swaps	(2,401,850)	8520
3.	Cleared swaps options		
	A. Market value of open cleared swaps option contracts purchased	0	8530
	B. Market value of open cleared swaps granted (sold)	0	8540
4.	Net equity (deficit) (add lines 1, 2 and 3)	1,366,748	8550
5.	Accounts liquidating to a deficit and accounts with debit balances		
	- gross amount	\$ 0	8560
	Less: amount offset by customer securities	0	8570
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	1,366,748	8590

Funds in Cleared Swaps Customer Segregated Accounts

7.	Deposited in cleared swaps customer segregated accounts at banks		
	A. Cash	\$ 1,046,269	8600
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8610
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8620
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
	A. Cash	61,162	8630
	B. Securities representing investments of cleared swaps customers' funds (at market)	10,001,393	8640
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8650
9.	Net settlement from (to) derivatives clearing organizations	70,875	8660
10.	Cleared swaps options		
	A. Value of open cleared swaps long option contracts	0	8670
	B. Value of open cleared swaps short option contracts	0	8680
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	8690
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8700
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8710
12.	Cleared swaps customer funds on hand (describe:)	0	8715
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	11,179,699	8720
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 9,812,951	8730
15.	Management target Amount for Excess funds in cleared swaps segregated accounts	\$ 8,000,000	8760
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess	\$ 1,812,951	8770