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| Name of Company: ADM Investor Services Inc. | Employer ID No: 371075552 | NFA ID No: 0000360 |
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CFTC FORM 1-FR-FCM
STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES
AS OF 1/31/2019

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

| | | | | |
|---|--------------|------------------|---------|------|
| 1. Net ledger balance | | | | |
| A. Cash | | \$ 3,427,445,392 | 5000 | |
| B. Securities (at market) | | 492,956,821 | 5010 | |
| 2. Net unrealized profit (loss) in open futures contracts traded on a contract market | | 38,759,773 | 5020 | |
| 3. Exchange traded options | | | | |
| A. Market value of open option contracts purchased on a contract market | | 260,230,303 | 5030 | |
| B. Market value of open option contracts granted (sold) on a contract market | | (199,712,800) | 5040 | |
| 4. Net equity (deficit) (add lines 1, 2, and 3) | | \$ 4,019,679,489 | 5050 | |
| 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount | \$ 3,668,582 | 5060 | | |
| Less: amount offset by customer owned securities | (3,556,703) | 5070 | 111,879 | 5080 |
| 6. Amount required to be segregated (add lines 4 and 5) | | \$ 4,019,791,368 | 5090 | |

FUNDS IN SEGREGATED ACCOUNTS

| | | | | |
|---|--|------------------|------|--|
| 7. Deposited in segregated funds bank accounts | | | | |
| A. Cash | | \$ 1,236,425,214 | 5100 | |
| B. Securities representing investments of customers' funds (at market) | | 59,883,053 | 5110 | |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | | 455,167,823 | 5120 | |
| 8. Margins on deposit with derivatives clearing organizations of contract markets | | | | |
| A. Cash | | 19,351,271 | 5130 | |
| B. Securities representing investments of customers' funds (at market) | | 2,327,731,662 | 5140 | |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | | 0 | 5150 | |
| 9. Net settlement from (to) derivatives clearing organizations of contract markets | | 33,901,272 | 5160 | |
| 10. Exchange traded options | | | | |
| A. Value of open long option contracts | | 260,230,303 | 5170 | |
| B. Value of open short option contracts | | (199,712,800) | 5180 | |
| 11. Net equities with other FCMs | | | | |
| A. Net liquidating equity | | 0 | 5190 | |
| B. Securities representing investments of customers' funds (at market) | | 0 | 5200 | |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | | 0 | 5210 | |
| 12. Segregated funds on hand (describe: See Attached) | | 37,788,998 | 5215 | |
| 13. Total amount in segregation (add lines 7 through 12) | | \$ 4,230,766,796 | 5220 | |
| 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) | | \$ 210,975,428 | 5230 | |
| 15. Management Target Amount Excess funds in segregation | | \$ 120,000,000 | 5240 | |
| 16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess | | \$ 90,975,428 | 5250 | |

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| Name of Company: ADM Investor Services Inc. | Employer ID No: 371075552 | NFA ID No: 0000360 |
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CFTC FORM 1-FR-FCM
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7
AS OF 1/31/2019

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

| | | | |
|---|---|----------------|------|
| Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder | | \$ 0 | 5605 |
| 1. | Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers | | |
| | A. Cash | \$ 264,486,358 | 5615 |
| | B. Securities (at market) | \$ 28,352,762 | 5617 |
| 2. | Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | \$(793,755) | 5625 |
| 3. | Exchange traded options | | |
| | A. Market value of open option contracts purchased on a foreign board of trade | \$ 2,906,282 | 5635 |
| | B. Market value of open option contracts granted (sold) on a foreign board of trade | \$(2,876,203) | 5637 |
| 4. | Net equity (deficit) (add lines 1, 2, and 3) | \$ 292,075,444 | 5645 |
| 5. | Accounts liquidating to a deficit and accounts with debit balances - gross amount | \$ 138,920 | 5651 |
| | Less: amount offset by customer owned securities | \$(138,782) | 5652 |
| | | \$ 138 | 5654 |
| 6. | Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | \$ 292,075,582 | 5655 |
| 7. | Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6. | \$ 292,075,582 | 5660 |

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CFTC FORM 1-FR-FCM
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7
AS OF 1/31/2019

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

| | | | | |
|--|----------------|------|----------------|------|
| 1. Cash in Banks | | | | |
| A. Banks located in the United States | \$ 138,293,695 | 5700 | | |
| B. Other banks qualified under Regulation 30.7 | | | | |
| Name(s): <u>See Attached</u> | 10,645,520 | 5720 | \$ 148,939,215 | 5730 |
| | | | | |
| 2. Securities | | | | |
| A. In safekeeping with banks located in the United States | \$ 43,289,305 | 5740 | | |
| B. In safekeeping with other banks qualified under Regulation 30.7 | | | | |
| Name(s): <u>See Attached</u> | 0 | 5760 | 43,289,305 | 5770 |
| | | | | |
| 3. Equities with registered futures commission merchants | | | | |
| A. Cash | \$(8,835,335) | 5780 | | |
| B. Securities | 38,589,299 | 5790 | | |
| C. Unrealized gain (loss) on open futures contracts | 13,973,800 | 5800 | | |
| D. Value of long option contracts | 0 | 5810 | | |
| E. Value of short option contracts | 0 | 5815 | 43,727,764 | 5820 |
| 4. Amounts held by clearing organizations of foreign boards of trade | | | | |
| Name(s): <u>See Attached</u> | | 5830 | | |
| A. Cash | \$ 2,597,291 | 5840 | | |
| B. Securities | 0 | 5850 | | |
| C. Amount due to (from) clearing organization - daily variation | (21,000) | 5860 | | |
| D. Value of long option contracts | 0 | 5870 | | |
| E. Value of short option contracts | 0 | 5875 | 2,576,291 | 5880 |
| 5. Amounts held by members of foreign boards of trade | | | | |
| Name(s): <u>See Attached</u> | | 5890 | | |
| A. Cash | \$ 44,572,919 | 5900 | | |
| B. Securities | 70,624,583 | 5910 | | |
| C. Unrealized gain (loss) on open futures contracts | (14,861,975) | 5920 | | |
| D. Value of long option contracts | 2,906,282 | 5930 | | |
| E. Value of short option contracts | (2,876,203) | 5935 | 100,365,606 | 5940 |
| 6. Amounts with other depositories designated by a foreign board of trade | | | | |
| Name(s): _ | | 5950 | 0 | 5960 |
| 7. Segregated funds on hand (describe): _ | | | 0 | 5965 |
| 8. Total funds in separate section 30.7 accounts | | | \$ 338,898,181 | 5970 |
| 9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8) | | | \$ 46,822,599 | 5680 |
| 10. Management Target Amount for Excess funds in separate 30.7 accounts | | | 20,000,000 | 5980 |
| 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess | | | 26,822,599 | 5985 |

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

as of: 01/31/2019

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

| | | | |
|----|--|-------------|------|
| 1. | Net ledger balance | | |
| | A. Cash | \$(819,889) | 8500 |
| | B. Securities (at market) | 0 | 8510 |
| | | 5,389,750 | 8520 |
| 2. | Net unrealized profit (loss) in open cleared swaps | | |
| 3. | Cleared swaps options | | |
| | A. Market value of open cleared swaps option contracts purchased | 0 | 8530 |
| | B. Market value of open cleared swaps granted (sold) | 0 | 8540 |
| | | 4,569,861 | 8550 |
| 4. | Net equity (deficit) (add lines 1, 2 and 3) | | |
| 5. | Accounts liquidating to a deficit and accounts with debit balances | | |
| | - gross amount | \$ 0 | 8560 |
| | Less: amount offset by customer securities | 0 | 8570 |
| | | 0 | 8580 |
| 6. | Amount required to be segregated for cleared swaps customers (add lines 4 and 5) | 4,569,861 | 8590 |

Funds in Cleared Swaps Customer Segregated Accounts

| | | | |
|-----|--|---------------|------|
| 7. | Deposited in cleared swaps customer segregated accounts at banks | | |
| | A. Cash | \$ 91,058 | 8600 |
| | B. Securities representing investments of cleared swaps customers' funds (at market) | 0 | 8610 |
| | C. Securities held for particular cleared swaps customers in lieu of cash (at market) | 0 | 8620 |
| | | 14,983,075 | 8630 |
| 8. | Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts | | |
| | A. Cash | 14,983,075 | 8630 |
| | B. Securities representing investments of cleared swaps customers' funds (at market) | 0 | 8640 |
| | C. Securities held for particular cleared swaps customers in lieu of cash (at market) | 0 | 8650 |
| | | (352,000) | 8660 |
| 9. | Net settlement from (to) derivatives clearing organizations | | |
| 10. | Cleared swaps options | | |
| | A. Value of open cleared swaps long option contracts | 0 | 8670 |
| | B. Value of open cleared swaps short option contracts | 0 | 8680 |
| | | 0 | 8690 |
| 11. | Net equities with other FCMs | | |
| | A. Net liquidating equity | 0 | 8700 |
| | B. Securities representing investments of cleared swaps customers' funds (at market) | 0 | 8710 |
| | C. Securities held for particular cleared swaps customers in lieu of cash (at market) | 0 | 8720 |
| | | 0 | 8730 |
| 12. | Cleared swaps customer funds on hand (describe:) | | |
| 13. | Total amount in cleared swaps customer segregation (add lines 7 through 12) | 14,722,133 | 8720 |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13) | \$ 10,152,272 | 8730 |
| 15. | Management target Amount for Excess funds in cleared swaps segregated accounts | \$ 8,000,000 | 8760 |
| 16. | Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess | \$ 2,152,272 | 8770 |