

Name of Company: ADM Investor Services Inc.	Employer ID No: 371075552	NFA ID No: 0000360
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CFTC FORM 1-FR-FCM
STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES
AS OF 9/30/2017

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash		\$ 3,941,448,040	5000
B. Securities (at market)		559,993,943	5010
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(78,912,664)	5020
3. Exchange traded options			
A. Market value of open option contracts purchased on a contract market		229,177,927	5030
B. Market value of open option contracts granted (sold) on a contract market		(261,865,559)	5040
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 4,389,841,687	5050
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 532,665	5060	
Less: amount offset by customer owned securities	(450,735)	5070	81,930 5080
6. Amount required to be segregated (add lines 4 and 5)		\$ 4,389,923,617	5090

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		\$ 716,396,408	5100
B. Securities representing investments of customers' funds (at market)		685,763,359	5110
C. Securities held for particular customers or option customers in lieu of cash (at market)		533,871,107	5120
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash		12,207,948	5130
B. Securities representing investments of customers' funds (at market)		2,633,241,524	5140
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5150
9. Net settlement from (to) derivatives clearing organizations of contract markets		27,009,501	5160
10. Exchange traded options			
A. Value of open long option contracts		229,177,927	5170
B. Value of open short option contracts		(261,865,559)	5180
11. Net equities with other FCMs			
A. Net liquidating equity		0	5190
B. Securities representing investments of customers' funds (at market)		0	5200
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5210
12. Segregated funds on hand (describe: See Attached)		26,122,836	5215
13. Total amount in segregation (add lines 7 through 12)		\$ 4,601,925,051	5220
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$ 212,001,434	5230
15. Management Target Amount Excess funds in segregation		\$ 120,000,000	5240
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess		\$ 92,001,434	5250

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CFTC FORM 1-FR-FCM
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7
AS OF 9/30/2017

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 297,847,152	5615
	B. Securities (at market)	\$ 16,499,081	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 37,991,910	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 369,841	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$(19,762)	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 352,688,222	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 47,069	5651
	Less: amount offset by customer owned securities	\$(46,994)	5652
		\$ 75	5654
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 352,688,297	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 352,688,297	5660

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CFTC FORM 1-FR-FCM
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7
AS OF 9/30/2017

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 37,513,694	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	15,491,481	5720	\$ 53,005,175	5730
				5710
2. Securities				
A. In safekeeping with banks located in the United States	\$ 155,452,688	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	0	5760	155,452,688	5770
				5750
3. Equities with registered futures commission merchants				
A. Cash	\$(960,426)	5780		
B. Securities	49,874,082	5790		
C. Unrealized gain (loss) on open futures contracts	10,477,698	5800		
D. Value of long option contracts	0	5810		
E. Value of short option contracts	0	5815	59,391,354	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): <u>See Attached</u>		5830		
A. Cash	\$ 6,611,212	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	40,225	5860		
D. Value of long option contracts	202,817	5870		
E. Value of short option contracts	(19,762)	5875	6,834,492	5880
5. Amounts held by members of foreign boards of trade				
Name(s): <u>See Attached</u>		5890		
A. Cash	\$ 26,522,964	5900		
B. Securities	85,973,287	5910		
C. Unrealized gain (loss) on open futures contracts	22,860,473	5920		
D. Value of long option contracts	167,024	5930		
E. Value of short option contracts	0	5935	135,523,748	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 410,207,457	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 57,519,160	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			20,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			37,519,160	5985

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

as of: 09/30/2017

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1.	Net ledger balance		
	A. Cash	\$ 9,422,778	8500
	B. Securities (at market)	0	8510
		(4,241,905)	8520
2.	Net unrealized profit (loss) in open cleared swaps		
3.	Cleared swaps options		
	A. Market value of open cleared swaps option contracts purchased	0	8530
	B. Market value of open cleared swaps granted (sold)	0	8540
		5,180,873	8550
4.	Net equity (deficit) (add lines 1, 2 and 3)		
5.	Accounts liquidating to a deficit and accounts with debit balances		
	- gross amount	\$ 0	8560
	Less: amount offset by customer securities	0	8570
		0	8580
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	5,180,873	8590

Funds in Cleared Swaps Customer Segregated Accounts

7.	Deposited in cleared swaps customer segregated accounts at banks		
	A. Cash	\$ 968,679	8600
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8610
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8620
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
	A. Cash	4,575,308	8630
	B. Securities representing investments of cleared swaps customers' funds (at market)	10,013,153	8640
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8650
		97,845	8660
9.	Net settlement from (to) derivatives clearing organizations		
10.	Cleared swaps options		
	A. Value of open cleared swaps long option contracts	0	8670
	B. Value of open cleared swaps short option contracts	0	8680
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	8690
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8700
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8710
		0	8715
12.	Cleared swaps customer funds on hand (describe:)		
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	15,654,985	8720
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 10,474,112	8730
15.	Management target Amount for Excess funds in cleared swaps segregated accounts	\$ 8,000,000	8760
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess	\$ 2,474,112	8770