

Name of Company: ADM Investor Services Inc.	Employer ID No: 371075552	NFA ID No: 0000360
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CFTC FORM 1-FR-FCM  
STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES  
AS OF 10/31/2018

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash		\$ 3,590,830,797	5000
B. Securities (at market)		540,194,518	5010
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(87,863,368)	5020
3. Exchange traded options			
A. Market value of open option contracts purchased on a contract market		270,586,001	5030
B. Market value of open option contracts granted (sold) on a contract market		(225,508,048)	5040
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 4,088,239,900	5050
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 6,048,442	5060	
Less: amount offset by customer owned securities	(5,871,518)	5070	
		176,924	5080
6. Amount required to be segregated (add lines 4 and 5)		\$ 4,088,416,824	5090

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		\$ 1,407,705,002	5100
B. Securities representing investments of customers' funds (at market)		52,865,198	5110
C. Securities held for particular customers or option customers in lieu of cash (at market)		511,735,792	5120
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash		22,359,770	5130
B. Securities representing investments of customers' funds (at market)		2,217,001,714	5140
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5150
9. Net settlement from (to) derivatives clearing organizations of contract markets		20,099,601	5160
10. Exchange traded options			
A. Value of open long option contracts		270,586,001	5170
B. Value of open short option contracts		(225,508,048)	5180
11. Net equities with other FCMs			
A. Net liquidating equity		0	5190
B. Securities representing investments of customers' funds (at market)		0	5200
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	5210
12. Segregated funds on hand (describe: See Attached )		28,458,726	5215
13. Total amount in segregation (add lines 7 through 12)		\$ 4,305,303,756	5220
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$ 216,886,932	5230
15. Management Target Amount Excess funds in segregation		\$ 120,000,000	5240
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess		\$ 96,886,932	5250

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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 10/31/2018

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 262,319,708	5615
	B. Securities (at market)	\$ 21,757,606	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$(16,252,500)	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 3,203,946	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$(2,150,184)	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 268,878,576	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 273,357	5651
	Less: amount offset by customer owned securities	\$(56,184)	5652
		\$ 217,173	5654
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 269,095,749	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 269,095,749	5660

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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 10/31/2018

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 143,001,844	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	11,809,807	5720	\$ 154,811,651	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 37,702,835	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>	0	5760	37,702,835	5770
3. Equities with registered futures commission merchants				
A. Cash	\$(2,143,977)	5780		
B. Securities	37,837,225	5790		
C. Unrealized gain (loss) on open futures contracts	7,988,073	5800		
D. Value of long option contracts	0	5810		
E. Value of short option contracts	0	5815	43,681,321	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): <u>See Attached</u>		5830		
A. Cash	\$ 2,401,154	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	(24,800)	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	2,376,354	5880
5. Amounts held by members of foreign boards of trade				
Name(s): <u>See Attached</u>		5890		
A. Cash	\$ 30,587,854	5900		
B. Securities	70,746,146	5910		
C. Unrealized gain (loss) on open futures contracts	(25,192,458)	5920		
D. Value of long option contracts	3,203,946	5930		
E. Value of short option contracts	(2,150,184)	5935	77,195,304	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 315,767,465	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 46,671,716	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			20,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			26,671,716	5985

**SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II**

**BROKER OR DEALER:**

as of: 10/31/2018

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1.	Net ledger balance		
	A. Cash	\$ 3,160,304	8500
	B. Securities (at market)	0	8510
2.	Net unrealized profit (loss) in open cleared swaps	3,034,325	8520
3.	Cleared swaps options		
	A. Market value of open cleared swaps option contracts purchased	0	8530
	B. Market value of open cleared swaps granted (sold)	0	8540
4.	Net equity (deficit) (add lines 1, 2 and 3)	6,194,629	8550
5.	Accounts liquidating to a deficit and accounts with debit balances		
	- gross amount	\$ 0	8560
	Less: amount offset by customer securities	0	8570
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	6,194,629	8590

**Funds in Cleared Swaps Customer Segregated Accounts**

7.	Deposited in cleared swaps customer segregated accounts at banks		
	A. Cash	\$ 368,192	8600
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8610
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8620
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
	A. Cash	14,736,171	8630
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8640
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8650
9.	Net settlement from (to) derivatives clearing organizations	93,725	8660
10.	Cleared swaps options		
	A. Value of open cleared swaps long option contracts	0	8670
	B. Value of open cleared swaps short option contracts	0	8680
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	8690
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8700
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8710
12.	Cleared swaps customer funds on hand (describe: )	0	8715
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	15,198,088	8720
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 9,003,459	8730
15.	Management target Amount for Excess funds in cleared swaps segregated accounts	\$ 8,000,000	8760
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess	\$ 1,003,459	8770